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| UTILITY PATENT APPLICATION TRANSMITTAL | | Attorney Docket No. 63032 | Total Pages | | | | | | | | | | |
| | | First Named Inventor or Application Identifier Glass et al. | | | | | | | | | | | |
| (Only for new nonprovisional applications under 37 CFR 1.53(b)) | | Express Mail Label No. | EV331475804US | | | | | | | | | | |
| Title: WATER SOLUBLE POLYHYDROXYAMINOETHER AND PROCESS FOR PREPARING THE SAME | | | | | | | | | | | | | |
| APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small> | | ADDRESS TO: Commissioner for Patents Mail Stop Patent Application P.O. Box 1450, Alexandria, VA 22313-1450 | | | | | | | | | | | |
| <div>1. <input checked="" type="checkbox"/> Fee Transmittal Form <small>(Submit an original, and a duplicate for fee processing)</small></div> <div>2. <input checked="" type="checkbox"/> Specification [Total Pages <u>22</u>] <small>(preferred arrangement set forth below)</small><ul style="list-style-type: none">- Descriptive title of the Invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure</div> <div>3. <input type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u> </u>]</div> <div>4. <input type="checkbox"/> Declaration and Power of Attorney [Total Pages <u> </u>]<ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed) [Note Box 5 below]</small><ul style="list-style-type: none">i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</div> <div>5. <input type="checkbox"/> Incorporation By Reference The entire disclosure of the prior application identified in Box 18 is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</div> | | <div>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</div> <div>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)<ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Copyb. <input type="checkbox"/> Paper Copy (identical to computer copy)c. <input type="checkbox"/> Statement verifying identity of above copies</div> | | | | | | | | | | | |
| ACCOMPANYING APPLICATION PARTS | | | | | | | | | | | | | |
| <div>8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</div> <div>9. <input type="checkbox"/> English Translation Document (if applicable)</div> <div>10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</div> <div>11. <input type="checkbox"/> Preliminary Amendment</div> <div>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></div> <div>13. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></div> <div>14. <input type="checkbox"/> Associate Power of Attorney</div> <div>15. <input type="checkbox"/> Sealed envelope containing confidential information, which Applicants may request to be expunged from the application file.</div> <div>16. <input checked="" type="checkbox"/> Authorization for payment of fees and Petition for Extensions of Time.</div> <div>17. <input type="checkbox"/> Other: _____</div> | | | | | | | | | | | | | |
| <div>18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: Amend the specification by inserting before the first line, the sentence: "This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: ____/____." If this application claims the benefit of a provisional application, check this box <input type="checkbox"/> This application claims the benefit of U.S. Provisional Application No. ____/____, filed ____.</div> | | | | | | | | | | | | | |
| 19. CORRESPONDENCE ADDRESS | | | | | | | | | | | | | |
| <div><input checked="" type="checkbox"/> Customer Number 000109 or <input type="checkbox"/> Correspondence address below</div> <div style="border: 1px solid black; padding: 5px; margin-top: 10px;"><table style="width:100%; border-collapse: collapse;"><tr><td style="width: 30%; border-bottom: 1px solid black;">NAME</td><td style="border-bottom: 1px solid black;"></td></tr><tr><td style="border-bottom: 1px solid black;">ADDRESS</td><td style="border-bottom: 1px solid black;"></td></tr><tr><td style="border-bottom: 1px solid black;">CITY</td><td style="border-bottom: 1px solid black;"></td></tr><tr><td style="border-bottom: 1px solid black;">STATE</td><td style="border-bottom: 1px solid black;"></td></tr><tr><td style="border-bottom: 1px solid black;">ZIP CODE</td><td style="border-bottom: 1px solid black;"></td></tr></table></div> | | | | NAME | | ADDRESS | | CITY | | STATE | | ZIP CODE | |
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| CITY | | | | | | | | | | | | | |
| STATE | | | | | | | | | | | | | |
| ZIP CODE | | | | | | | | | | | | | |
| <div>Please direct any telephonic communication regarding this application to the undersigned Attorney/Agent for Applicants: <div style="margin-top: 10px;"><u>Nemia C. Damocles</u> Nemia C. Damocles Reg. No.: <u>28,368</u> Phone No.: <u>989-636-9928</u> Fax No.: <u>989-636-3237</u></div></div> | | | | | | | | | | | | | |

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attorney's Case No. : 63032

Application of : Terry W. Glass, William J. Harris, Jerry E. White, Mike Cavitt,
David C. Jammer, and Louis Willy Jr.

For: WATER-SOLUBLE POLYHYDROXYAMINOETHER AND PROCESS FOR
PREPARING THE SAME

No. of Drawing Sheets: 0

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| EXPRESS MAIL MAILING LABEL NO. EV331475804US DATE OF DEPOSIT: SEPTEMBER 12, 2003 |
|-------------------------------------------------------------------------------------|

Sir:

Enclosed for filing is the above-identified application. Please charge the estimated fee to our Deposit Account No. 04-1512. An original and 2 copies of this sheet are enclosed.

| | | |
|----------------------------------------|-----------------------|-----------------|
| A. Basic Filing Fee | | <u>\$740.00</u> |
| Total Number of Claims | <u>17</u> | |
| Less (Basic Fee) | <u>20</u> | |
| B. Extra Claims | <u>0</u> x \$ 18.00 = | <u>\$0</u> |
| Total Number Independent Claims | <u>3</u> | |
| Less (Basic Fee) | <u>3</u> | |
| C. Extra Independent Claims | <u>0</u> x \$ 84.00 = | <u>\$0</u> |
| D. Multiple Dependent Claims Presented | + \$280.00 = | <u>\$0</u> |
| TOTAL FILING FEE (A+B+C+D) = | | <u>\$740.00</u> |

If this estimate is incorrect, please charge or credit our account accordingly.

Respectfully submitted,

Nemia C. Damocles

Nemia C. Damocles
Registration No.: 28,368
Phone: 989-636-9928

THE DOW CHEMICAL COMPANY
Intellectual Property
P.O. Box 1967
Midland, MI 48641-1967

Date: September 12, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant (s): Terry W. Glass, William J. Harris, Jerry E. White, Mike Cavitt, David C. Jammer, and Louis Willy Jr.

Attorney Docket No.: 63032

Group Art Unit: Unknown

Filed: Concurrently Herewith

Examiner: Unknown

For: WATER-SOLUBLE POLYHYDROXYAMINOETHER AND PROCESS FOR PREPARING THE SAME

EXPRESS MAIL MAILING LABEL NO. EV331475804US

DATE OF DEPOSIT: SEPTEMBER 12, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

AUTHORIZATION FOR PAYMENT OF FEES
AND PETITIONS FOR EXTENSIONS OF TIME

Pursuant to 37 CFR 1.136(a)(3), please treat any concurrent or future reply in this application which requires a petition for an extension of time under 37 CFR 1.136(a)(1) as incorporating a petition for an extension of time for the appropriate length of time. Please charge any fees required under 37 CFR 1.17 in this application to Deposit Account No. 04-1512.

Respectfully submitted,

Nemia C. Damocles

Nemia C. Damocles

Registration No.: 28,368

Phone: 989-636-9928

Date: September 12, 2003

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